

INFORMATION COMPLETENESS IN NELSON ALGEBRAS OF ROUGH SETS INDUCED BY QUASIORDERS

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ABSTRACT. In this paper, we give an algebraic completeness theorem for constructive logic with strong negation in terms of finite rough set-based Nelson algebras determined by quasiorders. We show how for a quasiorder R , its rough set-based Nelson algebra can be obtained by applying the well-known construction by Sendlewski. We prove that if the set of all R -closed elements, which may be viewed as the set of completely defined objects, is cofinal, then the rough set-based Nelson algebra determined by a quasiorder forms an effective lattice, that is, an algebraic model of the logic E_0 , which is characterised by a modal operator grasping the notion of “to be classically valid”. We present a necessary and sufficient condition under which a Nelson algebra is isomorphic to a rough set-based effective lattice determined by a quasiorder.

1. MOTIVATION: MIXING CLASSICAL AND NON-CLASSICAL LOGICS

Mixing logical behaviours is a more and more investigated topic in logic. For instance, labelled deductive systems by D. M. Gabbay [5] are used at this aim, and the “stoup” mechanism introduced by J-Y. Girard in [6] makes intuitionistic and classical deductions interact.

In 1989, P. A. Miglioli with his co-authors [16] introduced a constructive logic with strong negation, called *effective logic zero* and denoted by E_0 , containing a modal operator \mathbf{T} such that for any formula α of E_0 , $\mathbf{T}(\alpha)$ means that α is classically valid. More precisely, given a Hilbert-style calculus for constructive logic with strong negation (CLSN), also called Nelson logic [18], the rules for \mathbf{T} are

$$(\sim\alpha \rightarrow \perp) \rightarrow \mathbf{T}(\alpha) \quad \text{and} \quad (\alpha \rightarrow \perp) \rightarrow \sim\mathbf{T}(\alpha),$$

where \sim denotes the *strong negation*. One obtains that α is valid in classical logic (CL) if and only if $\mathbf{T}(\alpha)$ is provable in E_0 . Therefore, \mathbf{T} acts as an intuitionistic double negation $\neg\neg$ which, in view of the Gödel-Glivenko theorem, is able to grasp classical validity in the intuitionistic propositional calculus (INT) by stating that $\vdash_{\text{CL}} \alpha$ if and only if $\vdash_{\text{INT}} \neg\neg\alpha$.

However, \mathbf{T} fulfils additional distinct features. Firstly, CLSN is equipped with a weak negation \neg , defined similarly to the intuitionistic negation. But, the combinations $\neg\neg$, $\sim\neg$, or $\neg\sim$ are not able to cope with classical tautologies (see [23], for example). Secondly, consider the Kuroda formula $\forall x \neg\neg\alpha(x) \rightarrow \neg\neg\forall x \alpha(x)$. As noted in [16], it is an example of the divergence between double negation and an operator intended to represent classical truth, because the formula $\forall x \mathbf{T}(\alpha(x)) \rightarrow \mathbf{T}(\forall x \alpha(x))$

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should be intuitively valid if \mathbf{T} represents classical truth. But the Kuroda formula is unprovable in intuitionistic predicate calculus, while the above-presented \mathbf{T} -translation (and some other translations, too) are provable even in the predicative version of E_0 .

The motivation of the logical system E_0 was to grasp two distinct aspects of computation in program synthesis and specification: the algorithmic aspect and data. The latter are supposed to be given, not to be proved or computed; in fact “data” is the Latin plural of “datum”, which, literally, means “given”. A single undifferentiated logic is not a wise choice to cope with both aspects, therefore in E_0 there are two different logics at work: a constructive logic, representing algorithms, and classical logic, representing the behaviour of data. Data are assumed not to be constructively analysable and this is connected to the problem of the meaning of an atomic formula from a constructive point of view. Since the meaning of a formula is given by its construction, according to the constructivistic philosophy, and since its construction depends on the logical structure of the formula, the meaning of an atomic formula, which as such has no structure, is the atomic formula itself.

This is the solution adopted by Miglioli and others in [15]. In that paper, it is assumed that atomic formulas cannot have a constructive proof, therefore p and $\mathbf{T}(p)$ must coincide, that is, an axiom schema

$$(\star) \quad p \leftrightarrow \mathbf{T}(p)$$

is included for propositional variables. Axiom (\star) together with the \mathbf{T} -version of the Kreisel-Putnam principle [13], that is,

$$(\mathbf{T}\text{-KP}) \quad (\mathbf{T}(\alpha) \rightarrow (\beta \vee \gamma)) \rightarrow ((\mathbf{T}(\alpha) \rightarrow \beta) \vee (\mathbf{T}(\alpha) \rightarrow \gamma))$$

characterises the logic \mathcal{F}_{CL} . Because of (\star) the logic \mathcal{F}_{CL} is not standard in the sense that it does not enjoy uniform substitution. However, its *stable part*, that is, the part which is closed under uniform substitution, coincides with a well-known maximal intermediate constructive logic, namely Medvedev’s logic, a faithful interpretation of the intuitionistic logical principles (see [14, 15]).

One year later, P. Pagliani [19] was able to exhibit an algebraic model for E_0 . It turned out that these models are a special kind of Nelson algebras, called *effective lattices*.

The paper is structured as follows. In the next section we recall some well-known facts about Heyting algebras, Nelson algebras, and effective lattices. In Section 3, we recollect some well-known results related to rough sets defined by equivalence relations and the semi-simple Nelson algebras they determine. In Section 4, we recall the fact that rough set systems induced by quasiorders determine Nelson algebras, and show how these algebras can be obtained by Sendlewski’s construction. We also present a completeness theorem for CLSN in terms of finite rough set-based Nelson algebras. We give several equivalent conditions under which rough set-based Nelson algebras form effective lattices, and this enables us to characterize the Nelson algebras which are isomorphic to rough set-based effective lattices determined by quasiorders. Some concluding remarks of Section 5 end the work. In particular, it is shown how the logic E_0 can be interpreted in terms of rough sets by following the very philosophy of rough set theory.

2. PRELIMINARIES: HEYTING ALGEBRAS, NELSON ALGEBRAS, AND EFFECTIVE LATTICES

A *Kleene algebra* is a structure $(A, \vee, \wedge, \sim, 0, 1)$ such that A is a 0,1-bounded distributive lattice and for all $a, b \in A$:

- (K1) $\sim \sim a = a$
- (K2) $a \leq b$ if and only if $\sim b \leq \sim a$
- (K3) $a \wedge \sim a \leq b \vee \sim b$

A *Nelson algebra* $(A, \vee, \wedge, \rightarrow, \sim, 0, 1)$ is a Kleene algebra $(A, \vee, \wedge, \sim, 0, 1)$ such that for all $a, b, c \in A$:

- (N1) $a \wedge c \leq \sim a \vee b$ if and only if $c \leq a \rightarrow b$,
- (N2) $(a \wedge b) \rightarrow c = a \rightarrow (b \rightarrow c)$.

In each Nelson algebra, an operation \neg can be defined as $\neg a = a \rightarrow 0$. The operation \rightarrow is called *weak relative pseudocomplementation*, \sim is called *strong negation*, and \neg is called *weak negation*. A Nelson algebra is *semi-simple* if $a \vee \neg a = 1$ for all $a \in A$. It is well known that semi-simple Nelson algebras coincide with three-valued Lukasiewicz algebras and regular double Stone algebras.

An element a^* in a lattice L with 0 is called a *pseudocomplement* of $a \in L$, if $a \wedge x = 0 \iff x \leq a^*$ for all $x \in L$. If a pseudocomplement of a exists, then it is unique, and a lattice in which every element has a pseudocomplement is called a *pseudocomplemented lattice*. Note that pseudocomplemented lattices are always bounded. An element a of pseudocomplemented lattice is *dense* if $a^* = 0$. A *Heyting algebra* H is a lattice with 0 such that for all $a, b \in H$, there is a greatest element x of H with $a \wedge x \leq b$. This element is the *relative pseudocomplement* of a with respect to b , and is denoted $a \Rightarrow b$. It is known that a complete lattice is a Heyting algebra if and only if it satisfies the *join-infinite distributive law*, that is, finite meets distribute over arbitrary joins. In a Heyting algebra, the *pseudocomplement* of a is $a \Rightarrow 0$. By a *double Heyting algebra* we mean a Heyting algebra H whose dual H^d is also a Heyting algebra. A *completely distributive lattice* is a complete lattice in which arbitrary joins distribute over arbitrary meets. Therefore, completely distributive lattices are double Heyting algebras.

A Heyting algebra H can be viewed either as a partially ordered set (H, \leq) , because the operations $\vee, \wedge, \Rightarrow, 0, 1$ are uniquely determined by the order \leq , or as an algebra $(H, \vee, \wedge, \Rightarrow, 0, 1)$ of type $(2, 2, 2, 0, 0)$. Congruences on Heyting algebras are equivalences compatible with operations \vee, \wedge , and \Rightarrow . Next we recall some well-known facts about congruences on Heyting algebras that can be found [3], for instance. Let L be a distributive lattice and let F be a filter of L . The equivalence

$$\theta(F) = \{(x, y) \mid (\exists z \in F) x \wedge z = y \wedge z\}$$

is a congruence on L . It is known that if H is a Heyting algebra, then $\theta(F)$ is a congruence on H , that is, $\theta(F)$ is compatible also with \Rightarrow . Additionally, all congruences on Heyting algebras are obtained by this construction. A congruence on a Heyting algebra is said to be a *Boolean congruence* if its quotient algebra is a Boolean algebra. For a Heyting algebra H , a filter F contains the filter D of all the dense elements of H if and only if $\theta(F)$ is a Boolean congruence. This means that $\theta(D)$ is the *least* Boolean congruence on H , which is known as the *Glivenko congruence* Γ , expressed also as

$$\Gamma = \{(a, b) \mid a^* = b^*\}.$$

Lemma 2.1. *Let H be a Heyting algebra and let $a \leq d$ for all dense elements d of H . The equivalence*

$$\cong_a = \{(x, y) \mid x \wedge a = y \wedge a\}$$

is a Boolean congruence on H .

Proof. Let $F_a = \{x \in H \mid a \leq x\}$ be the principal filter of a . Then $\theta(F_a)$ is a congruence on H , and clearly \cong_a is equal to $\theta(F_a)$ (see e.g. [23]). Because $a \leq d$ for all $d \in D$, we have $D \subseteq F_a$ and so \cong_a is a Boolean congruence. \square

Let Θ be a Boolean congruence on a Heyting algebra H . As shown by A. Sendlewski [26], the set of pairs

$$(1) \quad N_\Theta(H) = \{(a, b) \in H \times H \mid a \wedge b = 0 \text{ and } a \vee b \Theta 1\}$$

can be made into a Nelson algebra, if equipped with the operations:

$$\begin{aligned} (a, b) \vee (c, d) &= (a \vee c, b \wedge d); \\ (a, b) \wedge (c, d) &= (a \wedge c, b \vee d); \\ (a, b) \rightarrow (c, d) &= (a \Rightarrow c, a \wedge d); \\ \sim(a, b) &= (b, a). \end{aligned}$$

Note that $(0, 1)$ is the 0-element, $(1, 0)$ is the 1-element, and in the right-hand side of the above equations, the operations are those of the Heyting algebra H . This Nelson algebra is denoted by $\mathbb{N}_\Theta(H)$.

In [19], Pagliani introduced *effective lattices*. They are special type of Nelson algebras determined by Glivenko congruences on Heyting algebras, that is, for any Heyting algebra H and its Glivenko congruence Γ , the corresponding *effective lattice* is the Nelson algebra $\mathbb{N}_\Gamma(H)$. Note that for all $x \in H$, $x \Gamma 1$ if and only if x is dense. This means that $N_\Gamma(H)$ consists of the pairs (a, b) such that $a \wedge b = 0$ and $a \vee b$ is dense (see Remark 2 in [26] and Proposition 9 of [19]). Additionally, it is proved in [19] that effective lattices are models for the logic E_0 , with \mathbf{T} defined by $\mathbf{T}((a, b)) = (a^{**}, b^{**})$. Note that each Heyting algebra defines exactly one effective lattice, and that all effective lattices are determined this way.

3. ROUGH SET THEORY COMES INTO THE PICTURE

Rough sets were introduced by Z. Pawlak [24] in order to provide a formal approach to deal with incomplete data. In rough set theory, any set of entities (or points, or objects) comes with a lower approximation and an upper approximation. These approximations are defined on the basis of the attributes (or parameters, or properties) through which entities are observed or analysed.

Originally, in rough set theory it was assumed that the set of attributes induces an equivalence relation E on U such that $x E y$ means that x and y cannot be discerned on the basis of the information provided by their attribute values. Approximations are then defined in terms of an *indiscernibility space*, that is, a relational structure (U, E) such that E is an equivalence relation on U . For a subset X of U , the *lower approximation* X_E of X consists of all elements whose E -class is included in X , while the *upper approximation* X^E is the set of the elements whose E -class has non-empty intersection with X . Therefore, X_E can be viewed as the set of elements which *certainly* belong to X , and X^E is the set of objects that *possibly* are in X , when elements are observed through the knowledge synthesized by E .

Since inception, a number of generalisations of the notion of a rough set have been proposed. A most interesting and useful one is the use of arbitrary binary relations instead of equivalences. Let us now define approximations $(\cdot)_R$ and $(\cdot)^R$ in a way that is applicable for different types of binary relations considered in this paper, and introduce also other notions and notation we shall need. It is worth pointing out that $(\cdot)_R$ and $(\cdot)^R$ can be regarded as “real” lower and upper approximation operators, respectively, only if R is reflexive, because otherwise $X_R \subseteq X$ and $X^R \subseteq X$ may fail to hold.

Definition 3.1. Let R be a reflexive relation on U and $X \subseteq U$. The set $R(X) = \{y \in U \mid x R y \text{ for some } x \in X\}$ is the R -neighbourhood of X . If $X = \{a\}$, then we write $R(a)$ instead of $R(\{a\})$. The approximations are defined as $X_R = \{x \in U \mid R(x) \subseteq X\}$ and $X^R = \{x \in U \mid R(x) \cap X \neq \emptyset\}$. A set $X \subseteq U$ is called R -closed if $R(X) = X$, and an element $x \in U$ is R -closed, if its singleton set $\{x\}$ is R -closed. The set of R -closed points is denoted by S .

Let us assume that (U, E) is an indiscernibility space. The set of lower approximations $\mathcal{B}_E(U) = \{X_E \mid X \subseteq U\}$ and the set of upper approximations $\mathcal{B}^E(U) = \{X^E \mid X \subseteq U\}$ coincide, so we denote this set simply by $\mathcal{B}_E(U)$. The set $\mathcal{B}_E(U)$ is a complete Boolean sublattice of $(\wp(U), \subseteq)$, where $\wp(U)$ denotes the set of all subsets of U . This means that $\mathcal{B}_E(U)$ forms a *complete field of sets*. Complete fields of sets are in one-to-one correspondence with equivalence relations, meaning that for each complete field of sets \mathcal{F} on U , we can define an equivalence E such that $\mathcal{B}_E(U) = \mathcal{F}$. Note that S and all its subsets belong to $\mathcal{B}_E(U)$, meaning that $\wp(S)$ is a complete sublattice of $\mathcal{B}_E(U)$, and therefore in this sense S can be viewed to consist of *completely defined objects*. Each object in S can be separated from other points of U by the information provided by the indiscernibility relation E , meaning that for any $x \in S$ and $X \subseteq U$, $x \in X_E$ if and only if $x \in X^E$.

The *rough set* of X is the equivalence class of all $Y \subseteq U$ such that $Y_E = X_E$ and $Y^E = X^E$. Since each rough set is uniquely determined by the approximation pair, one can represent the rough set of X as (X_E, X^E) or $(X_E, -X^E)$. We call the former *increasing representation* and the latter *disjoint representation*. These representations induce the sets

$$IRS_E(U) = \{(X_E, X^E) \mid X \subseteq U\}$$

and

$$DRS_E(U) = \{(X_E, -X^E) \mid X \subseteq U\},$$

respectively. The set $IRS_E(U)$ can be ordered pointwise

$$(X_E, X^E) \leq (Y_E, Y^E) \iff X_E \subseteq Y_E \text{ and } X^E \subseteq Y^E,$$

and $DRS_E(U)$ is ordered by reversing the order for the second components of the pairs, that is,

$$(X_E, -X^E) \leq (Y_E, -Y^E) \iff X_E \subseteq Y_E \text{ and } -X^E \supseteq -Y^E.$$

Therefore, $IRS_E(U)$ and $DRS_E(U)$ are order-isomorphic, and they form completely distributive lattices, thus double Heyting algebras [20, 22, 23].

Every Boolean lattice B , where x' denotes the complement of $x \in B$, is a Heyting algebra such that $x \Rightarrow y = x' \vee y$ for $x, y \in B$. An element $x \in B$ is dense only if $x' = 0$, that is, $x = 1$. Because it is known that on a Boolean lattice each lattice-congruence is such that the quotient lattice is a Boolean lattice, also the congruence

\cong_S on $\mathcal{B}_E(U)$, defined by $X \cong_S Y$, if $X \cap S = Y \cap S$, is Boolean when $\mathcal{B}_E(U)$ is interpreted as a Heyting algebra.

In [20], it is shown that disjoint representation of rough sets can be characterized as

$$(2) \quad DRS_E(U) = \{(A, B) \in \mathcal{B}_E(U)^2 \mid A \cap B = \emptyset \text{ and } A \cup B \cong_S U\}.$$

Thus, $DRS_E(U)$ coincides with the Nelson lattice $N_{\cong_S}(\mathcal{B}_E(U))$. Since $\mathcal{B}_E(U)$ is a Boolean lattice, $N_{\cong_S}(\mathcal{B}_E(U))$ is a semi-simple Nelson algebra (cf. [21]). As a consequence, we obtain the well-known facts that rough sets defined by equivalences determine also regular double Stone algebras and three-valued Lukasiewicz algebras.

In the literature also several representation theorems related to rough sets induced by equivalences can be found. For instance, in [22] it was proved that for any *finite* three-valued Lukasiewicz algebra \mathbb{A} , there is an indiscernibility space (U, E) such that $N_{\cong_S}(\mathcal{B}_E(U))$ is isomorphic to \mathbb{A} . This result was extended by L. Iturrioz [8] by showing that any three-valued Lukasiewicz algebra is a subalgebra of $IRS_E(U)$ for some indiscernibility space (U, E) . Finally, it has been proved by J. Järvinen and S. Radeleczki [11] that for any semi-simple Nelson algebra \mathbb{A} with an underlying algebraic lattice there exists an indiscernibility space (U, E) such that \mathbb{A} is isomorphic to $N_{\cong_S}(\mathcal{B}_E(U))$. From the latter representation theorem one obtains that every semi-simple Nelson algebra, regular double Stone algebra and three-valued Lukasiewicz algebra that are defined on algebraic lattices can be obtained from an indiscernibility space (U, E) by using Sendlewski's construction (1). Note that an *algebraic lattice* is a complete lattice L such that each element x of L is the join of a set of compact elements of L , and thus finite lattices are trivially algebraic.

On $\mathcal{B}_E(U)$, the Glivenko congruence is simply the identity relation. This means that the effective lattice determined by the indiscernibility space (U, E) is just the collection of all ordered pairs of disjoint elements of $\mathcal{B}_E(U)$ such that $X \cup Y = U$. Hence, $N_\Gamma(\mathcal{B}_E(U))$ equals the set of pairs $\{(X, -X) \mid X \in \mathcal{B}_E(U)\}$, which trivially is an isomorphic copy of $\mathcal{B}_E(U)$ itself. Therefore, in the case of equivalence relations, effective lattices do not appear that interesting, because on $N_\Gamma(\mathcal{B}_E(U))$ for any formula α we would have $\mathbf{T}(\llbracket \alpha \rrbracket) = \llbracket \alpha \rrbracket$, where $\llbracket \alpha \rrbracket$ is the ordered pair interpreting α .

Then a question arises: *Is there any generalization which makes it possible to go ahead and develop a full correspondence between rough set systems and effective lattices?*

4. EFFECTIVE LATTICES AND QUASIORDERS

For a quasiorder R on U , as in case of equivalences, we may define the *increasing representation* and the *disjoint representation*, respectively, by

$$IRS_R(U) = \{(X_R, X^R) \mid X \subseteq U\}$$

and

$$DRS_R(U) = \{(X_R, -X^R) \mid X \subseteq U\},$$

and these sets can be identified by the bijection $(X_R, X^R) \mapsto (X_R, -X^R)$.

As shown by J. Järvinen, S. Radeleczki, and L. Veres [12], $IRS_R(U)$ is a complete sublattice of $\wp(U) \times \wp(U)$ ordered by the pointwise set-inclusion relation, meaning

that $IRS_R(U)$ is an algebraic completely distributive lattice such that

$$\bigwedge \{(X_R, X^R) \mid X \in \mathcal{H}\} = \left(\bigcap_{X \in \mathcal{H}} X_R, \bigcap_{X \in \mathcal{H}} X^R \right)$$

and

$$\bigvee \{(X_R, X^R) \mid X \in \mathcal{H}\} = \left(\bigcup_{X \in \mathcal{H}} X_R, \bigcup_{X \in \mathcal{H}} X^R \right)$$

for all $\mathcal{H} \subseteq IRS_R(U)$. Since $IRS_R(U)$ is completely distributive, it is a double Heyting algebra.

Järvinen and Radeleczki proved in [11] that the bounded distributive lattice $IRS_R(U)$ equipped with the operation \sim defined by $\sim(X_R, X^R) = (-X^R, -X_R)$ forms a Kleene algebra satisfying the interpolation property of [4]. It is proved by R. Cignoli [4] that any Kleene algebra that satisfies this interpolation property and is such that for each pair a and b of its elements, the relative pseudocomplement $a \Rightarrow \sim a \vee b$ exists, forms a Nelson algebra in which the operation \rightarrow is determined by the rule $a \rightarrow b := a \Rightarrow \sim a \vee b$. Therefore, as noted in [11], for any quasiorder R on U , $IRS_R(U)$ together with the operation \sim forms a Nelson algebra. This Nelson algebra is denoted by $\mathbb{IRS}_R(U)$, and its operations will be described explicitly in Corollary 4.5.

In [11], it is also proved that if \mathbb{A} is a Nelson algebra defined on an algebraic lattice, then there exists a set U and a quasiorder R on U such that \mathbb{A} and the Nelson algebra $\mathbb{IRS}_R(U)$ are isomorphic. From this, we can deduce the following completeness result, with the finite model property, for CLSN, whose axiomatisation can be found in [25, 28], for example.

Theorem 4.1. *Let α be a formula of CLSN. Then the following conditions are equivalent:*

- (a) α is a theorem,
- (b) α is valid in every finite rough set-based Nelson algebra determined by a quasiorder.

Proof. Suppose that α is a theorem. Then, in the view of the completeness theorem proved in H. Rasiowa [25], α is valid in every Nelson algebra. Particularly, α is valid in every finite rough set-based Nelson algebra determined by a quasiorder.

Conversely, assume α is not a theorem. Then, there exists a finite Nelson algebra \mathbb{A} such that α is not valid in that algebra, that is, its valuation $\llbracket \alpha \rrbracket_{\mathbb{A}}$ is different from $1_{\mathbb{A}}$ (see [28], for example). Because \mathbb{A} is finite, it is defined on an algebraic lattice. Therefore, there exists a finite set U and a quasiorder R on U such that \mathbb{A} and the finite rough set-based Nelson algebra $\mathbb{IRS}_R(U)$ determined by R are isomorphic. We denote here $\mathbb{IRS}_R(U)$ simply by \mathbb{IRS} . Let us denote by f the isomorphism between these Nelson algebras. The valuation on \mathbb{IRS} can be now defined as $\llbracket \beta \rrbracket_{\mathbb{IRS}} = f(\llbracket \beta \rrbracket_{\mathbb{A}})$ for all formulas β , so $\llbracket \alpha \rrbracket_{\mathbb{IRS}} = f(\llbracket \alpha \rrbracket_{\mathbb{A}}) \neq f(1_{\mathbb{A}}) = 1_{\mathbb{IRS}}$, that is, α is not valid in \mathbb{IRS} . \square

An element x of a complete lattice L is *completely join-irreducible* if for every subset X of L , $x = \bigvee X$ implies that $x \in X$. The set of completely join-irreducible elements of L is denoted by \mathcal{J} . It is shown in [12] that the set of completely join-irreducible elements of $IRS_R(U)$ is

$$\mathcal{J} = \{(\emptyset, \{x\}^R) \mid x \in U \text{ and } |R(x)| \geq 2\} \cup \{(R(x), R(x)^R) \mid x \in U\},$$

and that every element can be represented as a join of elements in \mathcal{J} .

In a Nelson algebra \mathbb{A} defined on an algebraic lattice (A, \leq) , each element is the join of completely join-irreducible elements \mathcal{J} . We may define for any $j \in \mathcal{J}$ the element $g(j) = \bigwedge \{x \in A \mid x \not\leq j\}$ ($\in \mathcal{J}$), and it is shown in [11] that the map $g: \mathcal{J} \rightarrow \mathcal{J}$ satisfies the following conditions for all $x, y \in \mathcal{J}$:

- (J1) if $x \leq y$, then $g(y) \leq g(x)$,
- (J2) $g(g(x)) = x$,
- (J3) $x \leq g(x)$ or $g(x) \leq x$,
- (J4) if $x, y \leq g(x), g(y)$, there exists $z \in \mathcal{J}$ such that $x, y \leq z \leq g(x), g(y)$.

Conversely, the mapping g determines the strong negation \sim on \mathbb{A} by the equation $\sim x = \bigvee \{j \in \mathcal{J} \mid g(j) \not\leq x\}$.

Let R be a quasiorder on U and let \mathcal{J} be the set of the completely join-irreducible elements of $IRS_R(U)$. In [11] it is proved that the following equations hold:

$$\begin{aligned} \{j \in \mathcal{J} \mid j < g(j)\} &= \{(\emptyset, \{x\}^R) \mid x \in U \text{ and } |R(x)| \geq 2\}, \\ \{j \in \mathcal{J} \mid j = g(j)\} &= \{(\{x\}, \{x\}^R) \mid x \in S\}, \\ \{j \in \mathcal{J} \mid j > g(j)\} &= \{(R(x), R(x)^R) \mid x \in U \text{ and } |R(x)| \geq 2\}. \end{aligned}$$

Therefore, elements in S have a special role, because they are such that the completely join-irreducible elements corresponding to them are the fixed points of g . It should be also noted that in case of an equivalence E , the partially ordered set of completely join-irreducible elements of $IRS_E(U)$ consists of disjoint chains of 1 and 2 elements.

Differently from an equivalence E that defines one complete field of sets $\mathcal{B}_E(U)$, a quasiorder R determines two *complete rings of sets*, or equivalently, two *Alexandrov topologies*

$$\mathcal{T}_R(U) = \{X_R \mid X \subseteq U\} \text{ and } \mathcal{T}^R(U) = \{X^R \mid X \subseteq U\},$$

that is, $\mathcal{T}_R(U)$ and $\mathcal{T}^R(U)$ are closed under arbitrary unions and intersections. Note that $\mathcal{T}_R(U)$ and $\mathcal{T}^R(U)$ are intended to be the open sets of these topologies, respectively. The Alexandrov topologies $\mathcal{T}_R(U)$ and $\mathcal{T}^R(U)$ are dual in the sense that $X \in \mathcal{T}_R(U)$ if and only if $-X \in \mathcal{T}^R(U)$.

The topology $\mathcal{T}_R(U)$ consists of all R -closed sets. Therefore, for any $X \subseteq U$, the R -neighbourhood $R(X)$ of X is the smallest open set containing X . This actually means that $\mathcal{T}_R(U) = \{R(X) \mid X \subseteq U\}$, which also implies $R(X)_R = R(X)$ and $R(X_R) = X_R$ for any $X \subseteq U$. In addition, for all $X \in \mathcal{T}_R(U)$, $X = \bigcup_{x \in X} R(x)$ (see [9], for instance).

Because the points of S are R -closed, $\wp(S)$ is a complete sublattice of $\mathcal{T}_R(U)$, as in case of equivalences. Again, each object in S can be separated from other points of U by the information provided by the relation R , because each element of S is R -related only to itself, and for any $x \in S$ and $X \subseteq U$, $x \in X_R$ if and only if $x \in X^R$. Therefore, also in case of quasiorders, S can be viewed as the set of *completely defined objects*.

In the Alexandrov topology $\mathcal{T}_R(U)$, the map $(\cdot)^R: \wp(U) \rightarrow \wp(U)$ is the closure operator and $(\cdot)_R: \wp(U) \rightarrow \wp(U)$ is the interior operator. Because $\mathcal{T}_R(U)$ is closed under arbitrary unions and intersections, it is a completely distributive lattice and

a double Heyting algebra. In particular, for any $X, Y \in \mathcal{T}_R(U)$, the relative pseudocomplement $X \Rightarrow Y$ equals $(-X \cup Y)_R$. Thus, the structure

$$(3) \quad (\mathcal{T}_R(U), \cup, \cap, \Rightarrow, \emptyset, U)$$

forms a Heyting algebra in which $X^* = (-X)_R = -X^R$. Hence, an element $X \in \mathcal{T}_R(U)$ is dense if and only if $X^R = U$, meaning that X is *cofinal* in U , that is, for any $x \in U$, there exists $y \in X$ such that $x R y$ (see [27] for more details on cofinal sets).

Because each increasing rough set pair belongs to $\mathcal{T}_R(U) \times \mathcal{T}^R(U)$, our next aim is to present a characterization of $IRS_R(U)$ in terms of pairs belonging to $\mathcal{T}_R(U) \times \mathcal{T}^R(U)$. The next proposition appeared for the first time in [10], and also an analogous result is presented independently in [17].

Proposition 4.2. *Let R be a quasiorder on U . Then,*

$$IRS_R(U) = \{(A, B) \in \mathcal{T}_R(U) \times \mathcal{T}^R(U) \mid A \subseteq B \text{ and } S \subseteq A \cup -B\}.$$

Proof. (\subseteq): Suppose $(X_R, X^R) \in IRS_R(U)$. Then, $X_R \subseteq X^R$. Suppose now $x \in S$ and $x \notin X_R \cup -X^R$. Then $x \in X^R \setminus X_R$, which is clearly impossible because $x \in S$. Thus, $S \subseteq X_R \cup -X^R$.

(\supseteq): Assume that $(A, B) \in \mathcal{T}_R(U) \times \mathcal{T}^R(U)$, $A \subseteq B$, and $S \subseteq A \cup -B$. This means that $B \setminus A \subseteq -S$, that is, for any $x \in B \setminus A$, we have $|R(x)| \geq 2$. For any $x \in B \setminus A$, the pair $(\emptyset, \{x\}^R)$ is a rough set, because $|R(x)| \geq 2$. Additionally, for any $x \in A$, the pair $(R(x), R(x)^R)$ is also a rough set. Let us consider the rough set

$$\begin{aligned} (C, D) &= \bigvee \{(\emptyset, \{x\}^R) \mid x \in B \setminus A\} \vee \bigvee \{(R(x), R(x)^R) \mid x \in A\} \\ &= \left(\bigcup_{x \in A} R(x), \bigcup \{\{x\}^R \mid x \in B \setminus A\} \cup \bigcup \{R(x)^R \mid x \in A\} \right). \end{aligned}$$

Clearly, $C = \bigcup_{x \in A} R(x) = A$ since $A \in \mathcal{T}_R(U)$. In turn,

$$D = \bigcup \{\{x\}^R \mid x \in B \setminus A\} \cup \bigcup \{R(x)^R \mid x \in A\}.$$

Now, in view of the fact that A is R -closed, and that B is an upper approximation, hence $B^R = B$, we have:

- (i) If $x \in A$, then $R(x) \subseteq A$, so $R(x)^R \subseteq A^R \subseteq B^R = B$.
- (ii) If $x \in B \setminus A$, then $\{x\}^R \subseteq B^R = B$.

Therefore, $D \subseteq B$. Conversely, let $y \in B$. Then, $y \in A$ or $y \in B \setminus A$.

- (i) If $y \in A$, then $y \in R(y)^R \subseteq D$.
- (ii) If $y \in B \setminus A$, then $y \in \{y\}^R$ and $y \in \bigcup \{\{x\}^R \mid x \in B \setminus A\} \subseteq D$.

Thus, we have shown $B = D$. Therefore, $(A, B) = (C, D)$ is a rough set, that is, $(A, B) \in IRS_R(U)$. \square

As in the case of equivalences, it is obvious by Proposition 4.2 that

$$(4) \quad DRS_R(U) = \{(A, B) \in \mathcal{T}_R(U) \times \mathcal{T}_R(U) \mid A \cap B = \emptyset \text{ and } S \subseteq A \cup B\}.$$

We can now connect rough sets defined by quasiorders to Sendlewski's construction (1). First, we need the following lemma.

Lemma 4.3. *The set S is included in all dense elements of $\mathcal{T}_R(U)$.*

Proof. Suppose that the set $X \in \mathcal{T}_R(U)$ is dense, that is, $X^R = U$. If $S \not\subseteq X$, then there exists $x \in S$ such that $x \notin X$. Because $x \in X^R$ and $R(x) = \{x\}$, we have $x \in X$, a contradiction. \square

Because $\mathcal{T}_R(U)$ forms a Heyting algebra (3), by the previous lemma and Lemma 2.1, \cong_S is a Boolean congruence on the Heyting algebra $\mathcal{T}_R(U)$. It is easy to see that for all $X \in \mathcal{T}_R(U)$, $X \cong_S U$ if and only if $S \subseteq X$. Therefore, by (4), we may write

$$DRS_R(U) = N_{\cong_S}(\mathcal{T}_R(U)).$$

By applying Sendlewski's construction (1), we may now write the following proposition.

Proposition 4.4. *If R is a quasiorder on U , then $DRS_R(U)$ forms a Nelson algebra with the operations:*

$$\begin{aligned} (X_R, -X^R) \vee (Y_R, -Y^R) &= (X_R \cup Y_R, -X^R \cap -Y^R); \\ (X_R, -X^R) \wedge (Y_R, -Y^R) &= (X_R \cap Y_R, -X^R \cup -Y^R); \\ \sim(X_R, -X^R) &= (-X^R, X_R); \\ (X_R, -X^R) \rightarrow (Y_R, -Y^R) &= ((-X_R \cup Y_R)_R, X_R \cap -Y^R). \end{aligned}$$

We denote this Nelson algebra on $DRS_R(U)$ by $\mathbb{DRS}_R(U)$. Because the map $(X_R, X^R) \mapsto (X_R, -X^R)$ is an order-isomorphism between complete lattices $IRS_R(U)$ and $DRS_R(U)$, we may write the following corollary describing the operations in the Nelson algebra $\mathbb{IRS}_R(U)$

Corollary 4.5. *For a quasiorder R on U , the operations of $\mathbb{IRS}_R(U)$ are:*

$$\begin{aligned} (X_R, X^R) \vee (Y_R, Y^R) &= (X_R \cup Y_R, X^R \cup Y^R); \\ (X_R, X^R) \wedge (Y_R, Y^R) &= (X_R \cap Y_R, X^R \cap Y^R); \\ \sim(X_R, X^R) &= (-X^R, -X_R); \\ (X_R, X^R) \rightarrow (Y_R, Y^R) &= ((-X_R \cup Y_R)_R, -X_R \cup Y^R). \end{aligned}$$

We are now ready to consider effective lattices determined by rough sets. Recall that for any Heyting algebra H , the corresponding effective lattice is $\mathbb{N}_\Gamma(H)$, where Γ is the Glivenko congruence on H . In Section 2 we showed that every element $a \in H$ which is below all dense elements D determines a Boolean congruence \cong_a . If such an a is itself a dense element, it must be the least dense element, that is, $a = \bigwedge D \in D$. Therefore, in this case Γ is equal both to \cong_a and to the congruence $\theta(F_a)$ of the principal filter $F_a = \{x \in H \mid a \leq x\} = D$.

It should be noted that Heyting algebras do not necessarily have a least dense element. For instance, the Heyting algebra defined on the real interval $[0, 1] = \{x \in \mathbb{R} \mid 0 \leq x \leq 1\}$ is such, because each non-zero element of the algebra is dense. On the contrary, in case of finite Heyting algebras, there exists always the least dense element $\bigwedge D$, and thus D is the principal filter of $\bigwedge D$.

By definition, $\mathbb{DRS}_R(U)$ is an effective lattice whenever \cong_S is the least Boolean congruence on the Heyting algebra $\mathcal{T}_R(U)$. Because the Nelson algebras $\mathbb{DRS}_R(U)$ and $\mathbb{IRS}_R(U)$ are essentially the same, we say that also $\mathbb{IRS}_R(U)$ is an effective lattice, if \cong_S is the Glivenko congruence of $\mathcal{T}_R(U)$.

Our next lemma characterizes the conditions under which rough set-based Nelson algebras determined by quasiorders are effective lattices.

Proposition 4.6. *Let R be a quasiorder on the set U and let S be the set of R -closed elements. The following statements are equivalent:*

- (a) S is cofinal in U ,
- (b) S is a dense element of the Heyting algebra $\mathcal{T}_R(U)$,
- (c) S is the least dense element of the Heyting algebra $\mathcal{T}_R(U)$,
- (d) \cong_S is the least Boolean congruence Γ on the Heyting algebra $\mathcal{T}_R(U)$,
- (e) $\mathbb{IRS}_R(U)$ and $\mathbb{DRS}_R(U)$ are effective lattices.

Proof. Claims (a) and (b) are equivalent by definition, and the same holds between (d) and (e). Trivially (c) implies (b), and by Lemma 4.3, S is included in each dense element of $\mathcal{T}_R(U)$, hence (b) implies (c).

If \cong_S equals Γ , then $S \cong_S U$ implies $S \Gamma U$ and $X^* = U^* = \emptyset$, that is, X is dense and (d) \Rightarrow (b). If S is the least dense set, then, as discussed earlier, \cong_S equals Γ and (c) \Rightarrow (d). \square

If \cong_S is the Glivenko congruence, then for all elements $A, B \in \mathcal{T}_R(U)$, $A \cup B \cong_S U \iff A \cup B$ is dense $\iff (A \cup B)^R = A^R \cup B^R = U$. Therefore, we can write the following corollary characterizing the elements of $\mathbb{DRS}_R(U)$ and $\mathbb{IRS}_R(U)$ in the case they are effective lattices.

Corollary 4.7. *Let R be a quasiorder on U and assume that S is dense. Then, the following equations hold:*

- (a) $\mathbb{DRS}_R(U) = \{(A, B) \in \mathcal{T}_R(U) \times \mathcal{T}_R(U) \mid A \cap B = \emptyset \text{ and } A^R \cup B^R = U\}$;
- (b) $\mathbb{IRS}_R(U) = \{(A, B) \in \mathcal{T}_R(U) \times \mathcal{T}_R(U) \mid A \subseteq B \text{ and } B_R \setminus A^R = \emptyset\}$.

Next, we consider shortly the case that R is a partial order. The well-known *Hausdorff maximal principle* states that in any partially ordered set, there exists a maximal chain.

Corollary 4.8. *If (U, \leq) is a partially ordered set such that any maximal chain is bounded from above, then $\mathbb{IRS}_{\leq}(U)$ and $\mathbb{DRS}_{\leq}(U)$ are effective lattices.*

Proof. Let (U, \leq) be a partially ordered set and $x \in U$. Let us consider the partially ordered set $(\{y \mid x \leq y\}, \leq_x)$, where \leq_x is the order \leq restricted to $\{y \mid x \leq y\}$. Then, by the Hausdorff maximal principle, $\{y \mid x \leq y\}$ has a maximal chain C . By our assumption, the chain C is bounded from above by some element m . Because m is a maximal element, it is in S and $x \leq m$. This implies $S^{\leq} = U$, that is, S is dense. Therefore, \cong_S is the least Boolean congruence Γ , and $\mathbb{IRS}_{\leq}(U)$ and $\mathbb{DRS}_{\leq}(U)$ are effective lattices. \square

Remark 4.9. Clearly, if U is finite, then Corollary 4.8 holds, that is, all rough set-based Nelson algebras determined by finite partially ordered sets are effective lattices.

Example 4.10. Let (U, \leq) be a partially ordered set with least element 0 such that $U \setminus \{0\}$ is an antichain, that is, all elements in $U \setminus \{0\}$ are incomparable. Clearly, the set of \leq -closed elements is $S = U \setminus \{0\}$ and $S^{\leq} = U$, meaning that S is cofinal and, by Lemma 4.6, \cong_S equals Γ and S is the least dense set. Additionally, $\mathcal{T}_{\leq}(U) = \wp(S) \cup \{U\}$, and the congruence classes of \cong_S are of the form $\{X, X \cup \{0\}\}$, where $X \in \mathcal{T}_{\leq}(U)$.

It is also easy to observe that

$$\mathbb{IRS}_{\leq}(U) = \{(X, X \cup \{0\}) \mid X \subseteq S\} \cup \{(\emptyset, \emptyset), (U, U)\}.$$

So, in this case $IRS_{\leq}(U)$ is order-isomorphic to $\wp(S)$ added with a top element $\mathbf{1}$ corresponding to (U, U) and a bottom element $\mathbf{0}$ corresponding to (\emptyset, \emptyset) , that is, $IRS_{\leq}(U)$ is order-isomorphic to $\mathbf{0} \oplus \wp(S) \oplus \mathbf{1}$. Note also that in $IRS_{\leq}(U)$, the pair $(\emptyset, \{0\})$ is the least dense set, which means that in $IRS_{\leq}(U)$, all elements except (\emptyset, \emptyset) are dense.

We end this section by presenting a necessary and sufficient condition under which Nelson algebras are isomorphic to effective lattices of rough sets determined by quasiorders.

Theorem 4.11. *Let \mathbb{A} be a Nelson algebra. Then, there exists a set U and a quasiorder R on U such that $\mathbb{IRS}_R(U)$ is an effective lattice and $\mathbb{A} \cong \mathbb{IRS}_R(U)$ if and only if \mathbb{A} is defined on an algebraic lattice in which each completely join-irreducible element is comparable with at least one completely join-irreducible element which is a fixed point of g .*

Proof. For a Nelson algebra \mathbb{A} , there exists a set U and a quasiorder R on U such that $\mathbb{A} \cong \mathbb{IRS}_R(U)$ if and only if \mathbb{A} is defined on an algebraic lattice (for details, see [11]). Additionally, by Lemma 4.6, we know that $\mathbb{IRS}_R(U)$ is an effective lattice if and only if S is cofinal in U .

Assume that there exists a set U and a quasiorder R on U such that $\mathbb{IRS}_R(U)$ is an effective lattice and $\mathbb{A} \cong \mathbb{IRS}_R(U)$. Let φ be the isomorphism in question. This implies that \mathbb{A} is defined on an algebraic lattice A and each element of A can be represented as a join of completely irreducible elements of \mathcal{J} . Note that φ preserves also the map g , that is, $\varphi(g(j)) = g(\varphi(j))$ for all $j \in \mathcal{J}$; see [11].

Let $j \in \mathcal{J}$. If j is a fixed point of g , then $\varphi(j) = (\{y\}, \{y\}^R)$ for some $y \in S$, and we have nothing to prove since j is trivially comparable with itself. If j is not a fixed point of g , then for $\varphi(j)$ we have two possibilities:

- (i) $\varphi(j) = (\emptyset, \{x\}^R)$ for some $x \in U$ such that $|R(x)| \geq 2$, or
- (ii) $\varphi(j) = (R(x), R(x)^R)$ for some $x \in U$ such that $|R(x)| \geq 2$.

Without a loss of generality we may assume that $j < g(j)$. This means that there exists $x \in U \setminus S$ such that $\varphi(j) = (\emptyset, \{x\}^R)$ and $\varphi(g(j)) = (R(x), R(x)^R)$. Because S is cofinal, there exists $y \in S$ such that $x R y$. Let k be the element of A such that $\varphi(k) = (\{y\}, \{y\}^R)$. Obviously, $k \in \mathcal{J}$ and $g(k) = k$. Because $x R y$, we have $\varphi(j) = (\emptyset, \{x\}^R) \leq (\{y\}, \{y\}^R) = \varphi(k)$, and hence $j \leq k$; note that this also means $k \leq g(j)$.

Conversely, assume \mathbb{A} is defined on an algebraic lattice whose each completely join-irreducible element is comparable with at least one completely join-irreducible element which is a fixed point of g . Because \mathbb{A} is defined on an algebraic lattice, there exists a set U and a quasiorder R on U such that $\mathbb{A} \cong \mathbb{IRS}_R(U)$ as Nelson algebras. Let us again denote this isomorphism by φ . We show that S is cofinal, which by Proposition 4.6 means that $\mathbb{IRS}_R(U)$ is an effective lattice. Let $x \in U$. If $x \in S$, then, by reflexivity, $x R x \in S$. If $x \notin S$, then $|R(x)| \geq 2$, and there are two elements $j_1 < j_2$ in \mathcal{J} such that $g(j_1) = j_2$, $\varphi(j_1) = (\emptyset, \{x\}^R)$, and $\varphi(j_2) = (R(x), R(x)^R)$. Because j_1 (or equivalently j_2) is comparable with at least one completely join-irreducible element k which is a fixed point of g , this necessarily means that $j_1 < k < j_2$. Let $\varphi(k) = (\{y\}, \{y\}^R)$. It follows that $y \in S$, and now $\varphi(j_1) = (\emptyset, \{x\}^R) \leq (\{y\}, \{y\}^R) = \varphi(k)$ gives $x \in \{x\}^R \subseteq \{y\}^R$, that is, $x R y$. Thus, S is cofinal. \square

5. CONCLUDING REMARKS

The results of this paper have been suggested by the very philosophy of rough set theory. In an indiscernibility space (U, E) two elements $x, y \in U$ are indiscernible if $E(y) = E(x) = \{x, y, \dots\}$. Indeed in rough set theory, the equivalence relation E of an indiscernibility space (U, E) is induced by attributes values. Therefore, if x and y are indiscernible, then there is no property which is able to distinguish y from x . But if $E(x) = \{x\}$, then we are given a set of attributes which are able to single out x from the rest of the domain. In other terms, x is uniquely determined by the set of attributes. In a sense, about x we have complete information. It is not surprise, therefore, that on the union S of all the singleton equivalence classes, Boolean logic applies. That is, S is a Boolean subuniverse within a three-valued universe. The fact that S is Boolean is expressed in two ways: by saying that $X_E \cup -X^E \supseteq S$ or, equivalently, that $X_E \cap S = X^E \cap S$, meaning that any subset X is *exactly defined* with respect to S .

When we move to quasiorders, we face the same situation. A quasiorder R expresses either a preference relation (see for instance [7]) or an information refinement. The latter notion is embedded in that of a *specialisation preorder* which characterizes Alexandrov topologies (see [29]). Thus, if $x \in S$, then x is a most preferred element or a piece of information which is maximally refined. So, R -closed elements decide every formula. Otherwise stated, on S excluded middle is valid.

This is the logico-philosophical link between rough set systems induced by a quasiorder R and effective lattices. If $\mathbb{IRS}_R(U)$ and $\mathbb{DRS}_R(U)$ are effective lattices, then \cong_S is the Glivenko congruence and the set S is cofinal, which means that for any $x \in U$, there exist an R -closed element y such that $x R y$. Indeed, this is the characteristic which distinguishes Miglioli's Kripke models for E_0 from Thomason's Kripke models for CLSN.

At the very beginning of the paper, we have seen the reasons why Miglioli's research group introduced the operator \mathbf{T} and why this operator requires that for any information state s there is a complete state s' which extends s . Here "complete" means that for any atomic formula p , either s' forces p or s' forces the strong negation of p . Those reasons were connected to problems in program synthesis and specification. However we can find a similar issue in other fields.

For instance, S. Akama [1] considers an equivalent system endowed with modal operators to face the "frame problem" in knowledge bases. In that paper, intuitively, it is required that any search for *complete information* must be successfully accomplished. On the basis of our previous discussion it is easy to understand why Akama satisfies this request by postulating that each maximal chain of possible worlds ends with a greatest element fulfilling a Boolean forcing. Hence the set of these elements is dense.

Another interesting example is given by situation theory [2]. Given a situation s and a state of affairs σ , $s \models \sigma$ means that situation s supports σ (or makes σ factual). In Situation Theory some assumptions are accepted as "natural", for any σ :

- (i) Some situation will make σ or its dual factual:
 $\exists s(s \models \sigma \text{ or } s \models \sim\sigma).$
- (ii) No situation will make both σ and its dual factual:
 $\neg\exists s(s \models \sigma \text{ and } s \models \sim\sigma).$

- (iii) Some situation will leave the relevant issue unsolved (it is admitted that for some s , $s \not\sim \sigma$ and $s \not\sim \sim \sigma$).

In contrast with assumption (iii), the following, on the contrary, is a controversial thesis:

There is a largest total situation which resolves all issues.

It is immediate to see that this thesis is connected to the scenario depicted by logic E_0 .

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